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PTO/SB/05 (11-00)

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UTILITY
PATENT APPLICATION
TRANSMITTAL

Attorney Docket No. 16866S033400

First Inventor

Ishitobi, Tatsuya

Title

DISC RECORDING METHOD AND APPARATUS USING OPTIC

Express Mail Label No.

EL670654706US

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

APPLICATION ELEMENTS

See MPEP chapter 600 concerning design patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
Applicant claims small entity status.
See 37 CFR 1.27.
2. ☐ Specification
(preferred arrangement set forth below)
- Descriptive title of the invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 4]]
5. ☐ Oath or Declaration [Total Pages 1]]
a. ☐ Newly executed (original or copy)
b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
a. ☐ Computer Readable Form (CRF)
b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper number of pages
c. ☐ Statements verifying identity of above copies
9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure ☒ Copies of IDS
Statement (IDS)/PTO-1449 Citations (1)
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C.
122(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,
or in an Application Data Sheet under 37 CFR 1.76:☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. _____ I, _____

Prior application information: Examiner _____

Group Art Unit: _____

For CONTINUING or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied
under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by
reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

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or ☐ Correspondence address below

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Name (Print/Type)	Robert C. Colwell	Registration No. (Attorney/Agent)	27,431
Signature	<i>Robert C. Colwell</i>	Date	August 30, 2001

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PA 3167421 v1

08/30/01

J1011 U.S. PTO

PATENT APPLICATION TRANSMITTAL

J102 U.S. PTO
09/04/01
10/30/01

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 710

Complete If Known

Application Number	
Filing Date	
First Named Inventor	Ishitobi, Tatsuya
Examiner Name	
Group Art Unit	
Attorney Docket No.	16869S-033400US

METHOD OF PAYMENT		3. ADDITIONAL FEES		FEE CALCULATION (continued)																																																																																																																	
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number: 20-1430</p> <p>Deposit Account Name: Townsend and Townsend and Crew LLP</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p>		<p>Large Fee Code: 105, 127, 139, 147, 112, 113, 115, 116, 117, 118, 128, 119, 120, 121, 138, 140, 141, 142, 143, 144, 122, 123, 126, 581, 146, 149, 179, 169</p> <p>Entity Fee Code: 130, 50, 130, 2,520, 920*, 1,840*, 110, 390, 890, 1,390, 1,890, 310, 310, 270, 1,510, 110, 1,240, 1,240, 440, 600, 130, 50, 180, 40, 710, 710, 710, 900</p> <p>Small Fee Code: 205, 227, 139, 2,520, 112, 113, 215, 216, 217, 218, 228, 219, 220, 221, 138, 240, 620, 620, 220, 300, 122, 123, 180, 581, 246, 249, 279, 900</p> <p>Entity Fee Code: 65, 25, 130, 920*, 1,840*, 55, 195, 445, 695, 945, 155, 155, 135, 1,510, 55, 620, 620, 220, 300, 130, 50, 180, 581, 355, 355, 355, 900</p> <p>Fee Description: Surcharge - late filing fee or oath or cover sheet, Surcharge - late provisional filing fee or cover sheet, Non-English specification, For filing a request for reexamination, Requesting publication of SIR prior to Examiner action, Requesting publication of SIR after Examiner action, Extension for reply within first month, Extension for reply within second month, Extension for reply within third month, Extension for reply within fourth month, Extension for reply within fifth month, Notice of Appeal, Filing a brief in support of an appeal, Request for oral hearing, Petition to institute a public use proceeding, Petition to revive - unavoidable, Petition to revive - unintentional, Utility issue fee (or reissue), Design issue fee, Plant issue fee, Petitions to the Commissioner, Petitions related to provisional applications, Submission of Information Disclosure Sheet, Recording each patent assignment per property (times number of properties), Filing a submission after final rejection (37 CFR § 1.129(a)), For each additional invention to be examined (37 CFR § 1.129(b)), Request for Continued Examination (RCE), Request for expedited examination of a design application</p> <p>Fee Paid: [Blank]</p>																																																																																																																			
<p>2. <input type="checkbox"/> Payment Enclosed:</p> <p><input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>		<p>1. BASIC FILING FEE</p> <table border="1"> <thead> <tr> <th>Large Fee Code</th> <th>Entity Fee Code</th> <th>Small Fee Code</th> <th>Entity Fee Code</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>101</td> <td>710</td> <td>201</td> <td>355</td> <td>Utility filing fee</td> <td>710</td> </tr> <tr> <td>106</td> <td>320</td> <td>206</td> <td>160</td> <td>Design filing fee</td> <td></td> </tr> <tr> <td>107</td> <td>490</td> <td>207</td> <td>245</td> <td>Plant filing fee</td> <td></td> </tr> <tr> <td>108</td> <td>710</td> <td>208</td> <td>355</td> <td>Reissue filing fee</td> <td></td> </tr> <tr> <td>114</td> <td>150</td> <td>214</td> <td>75</td> <td>Provisional filing fee</td> <td></td> </tr> <tr> <td colspan="5">SUBTOTAL (1)</td> <td>(\$710)</td> </tr> </tbody> </table> <p>2. EXTRA CLAIM FEES</p> <table border="1"> <thead> <tr> <th>Total Claims</th> <th>11</th> <th>-20**</th> <th>=</th> <th>0</th> <th>Extra Claims</th> <th>X</th> <th>Fee from below</th> <th>=</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>Independent Claims</td> <td>2</td> <td>-3**</td> <td>=</td> <td>0</td> <td></td> <td>X</td> <td>\$80</td> <td>=</td> <td>\$0</td> </tr> <tr> <td>Multiple Dependent</td> <td></td> <td></td> <td>=</td> <td></td> <td></td> <td>X</td> <td></td> <td>=</td> <td></td> </tr> </tbody> </table> <table border="1"> <thead> <tr> <th>Large Fee Code</th> <th>Entity Fee Code</th> <th>Small Fee Code</th> <th>Entity Fee Code</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>103</td> <td>18</td> <td>203</td> <td>9</td> <td>Claims in excess of 20</td> <td></td> </tr> <tr> <td>102</td> <td>80</td> <td>202</td> <td>40</td> <td>Independent claims in excess of 3</td> <td></td> </tr> <tr> <td>104</td> <td>270</td> <td>204</td> <td>135</td> <td>Multiple dependent claim, if not paid ** Feeless independent claims over original patent</td> <td></td> </tr> <tr> <td>109</td> <td>80</td> <td>209</td> <td>40</td> <td>** Reissue claims in excess of 20 and over original patent</td> <td></td> </tr> <tr> <td>110</td> <td>18</td> <td>210</td> <td>9</td> <td></td> <td></td> </tr> <tr> <td colspan="5">SUBTOTAL (2)</td> <td>(\$0)</td> </tr> </tbody> </table> <p>Other fee (specify):</p> <p>The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.</p> <p>*Reduced by Basic Filing Fee Paid</p> <p>SUBTOTAL (3) (\$)</p>		Large Fee Code	Entity Fee Code	Small Fee Code	Entity Fee Code	Fee Description	Fee Paid	101	710	201	355	Utility filing fee	710	106	320	206	160	Design filing fee		107	490	207	245	Plant filing fee		108	710	208	355	Reissue filing fee		114	150	214	75	Provisional filing fee		SUBTOTAL (1)					(\$710)	Total Claims	11	-20**	=	0	Extra Claims	X	Fee from below	=	Fee Paid	Independent Claims	2	-3**	=	0		X	\$80	=	\$0	Multiple Dependent			=			X		=		Large Fee Code	Entity Fee Code	Small Fee Code	Entity Fee Code	Fee Description	Fee Paid	103	18	203	9	Claims in excess of 20		102	80	202	40	Independent claims in excess of 3		104	270	204	135	Multiple dependent claim, if not paid ** Feeless independent claims over original patent		109	80	209	40	** Reissue claims in excess of 20 and over original patent		110	18	210	9			SUBTOTAL (2)					(\$0)
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SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Robert C. Colwell	Registration No. (Attorney/Agent)	27,431
Signature		Telephone	650-326-2400
		Date	August 30, 2001

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